

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tsuyoshi KOMAKI, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: METHOD FOR MAKING OPTICAL INFORMATION MEDIUM AND OPTICAL INFORMATION MEDIUM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Gregory J. Maier

Registration No. 25,599



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CERTIFICATION

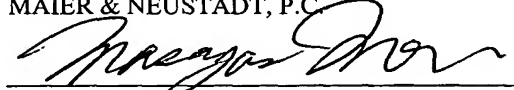
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 241151US2CONT | | SERIAL NO. New Application | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Tsuyoshi KOMAKI, et al. | | | |
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| U.S. PATENT DOCUMENTS | | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| | AQ | 59-206081 | 11/21/1984 | Japan (w/ English abstract) | | xx | |
| | AP | 04-017139 | 01/21/1992 | Japan (w/ English abstract) | | xx | |
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| | AS | 04-283434 | 10/08/1992 | Japan (w/ English abstract) | | xx | |
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| | AU | 07-230632 | 08/29/1995 | Japan (w/ English abstract) | | xx | |
| | AV | 11-086355 | 03/30/1999 | Japan (w/ English abstract) | | xx | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | Japanese Journal of Applied Physics Vol. 39(2000) pp. 775-778 Author: Michel M. J. Decre and Piet H. G. M. Vromans Title: "Cover Layer Technology for the High-Numerical-Aperture Digital Video Recording System" | | | | | |
| | AX | Technical Digest ISOM 2001 (2001) ppl 316-317 Author: Tsuyoshi Komaki, et al. Title: "Spin-coating technology of the cover layer for the DVR-Blue disc" | | | | | |
| | AY | | | | | | |
| | AZ | | | | | <input checked="" type="checkbox"/> Additional References sheet(s) attached | |
| Examiner | | | | | Date Considered | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

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PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
241151US2CONTSERIAL NO.
New Application

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
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| | AA | | | | | | |
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| | | | | | YES | NO |
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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| | AW | |
| | AX | |
| | AY | |
| | AZ | <input type="checkbox"/> Additional References sheet(s) attached |

Examiner

Date Considered

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